

FORM 3

THE PATENT ACT, 1970

(39 of 1970)

and

THE PATENTS RULES, 2003

STATEMENT AND UNDERTAKING UNDER SECTION 8

(See section 8; rule 12)

1. Name, address and nationality of the applicant(s).	We, SANOFI Nationality : FRANCE 54 RUE LA BOETIE PARIS 75008 FRANCE
	hereby declare:
	(i) that we have not made any application for the same/ substantially the same invention outside India or (ii) that we who have made this application No 201917004058 dated 01 February 2019 , made for the same/substantially same invention, application(s) for patent in the other countries, the particulars of which are given below :



Espacenet

RSS: family descriptors

Family list: EP3481420 (A1) — 2019-05-15

17 application(s) for: EP3481420 (A1)

1. ANTIBODY FORMULATIONS					
Inventor:	Applicant:	CPC:	IPC:	Publication info:	Priority date:
FRANCIS DONNY [DE] YOUSSEF AHMED [DE] (+2)	SANOFI SA [FR]	<u>A61K39/395</u> <u>A61K39/3955</u> <u>A61K39/39591</u> (+14)	A61K39/395 C07K16/28	EP3481420 (A1) 2019-05-15 ??????	2016-07-05
2. FORMULACIONES DE ANTICUERPOS					
Inventor:	Applicant:	CPC:	IPC:	Publication info:	Priority date:
	SANOFI SA [FR]		A61K39/395 A61K47/18 A61K47/26 (+1)	AR109252 (A1) 2018-11-14	2016-07-05
3. Antibody formulations					
Inventor:	Applicant:	CPC:	IPC:	Publication info:	Priority date:
FRANCIS DONNY YOUSSEF AHMED (+2)	SANOFI SA [FR]	<u>A61K39/395</u> <u>A61K39/3955</u> <u>A61K39/39591</u> (+14)	A61K39/395 C07K16/28	AU2017293103 (A1) 2019-02-21 ??????	2016-07-05
4. ANTIBODY FORMULATIONS					
Inventor:	Applicant:	CPC:	IPC:	Publication info:	Priority date:
AHMED YOUSSEF [DE] DONNY FRANCIS [DE] (+2)	SANOFI SA [FR]	<u>A61K39/395</u> <u>A61K39/3955</u> <u>A61K39/39591</u> (+14)	A61K39/395 C07K16/28	BR112019000135 (A2) 2019-04-16	2016-07-05
5. ANTIBODY FORMULATIONS					
Inventor:	Applicant:	CPC:	IPC:	Publication info:	Priority date:
FRANCIS DONNY [DE] YOUSSEF AHMED [DE] (+2)	SANOFI SA [FR]	<u>A61K39/395</u> <u>A61K39/3955</u> <u>A61K39/39591</u> (+14)	A61K39/395 C07K16/28	CA3029642 (A1) 2018-01-11 ??????	2016-07-05
6. ANTIBODY FORMULATIONS					
Inventor:	Applicant:	CPC:	IPC:	Publication info:	Priority date:
FRANCIS DONNY YOUSSEF AHMED (+2)	SANOFI SA	<u>A61K39/395</u> <u>A61K39/3955</u> <u>A61K39/39591</u> (+14)	A61K39/395 C07K16/28	CN109661240 (A) 2019-04-19 CN109661240 (B) 2022-11-29 ??????	2016-07-05
7. ANTIBODY FORMULATIONS					

Inventor: D·弗朗西斯, A·优素福, (+2)	Applicant: 赛诺菲	CPC: <u>A61K39/395</u> <u>A61K39/3955</u> <u>A61K39/39591</u> (+14)	IPC: A61K39/395 A61K47/12 A61K47/18 (+6)	Publication info: CN115998858 (A) 2023-04-25 ??????	Priority date: 2016-07-05
8. ANTIBODY FORMULATIONS					
Inventor: ドニー・フラン シス, アーメッド・ユ ーセフ, (+2)	Applicant: サノフィ S A NOFI	CPC: <u>A61K39/395</u> <u>A61K39/3955</u> <u>A61K39/39591</u> (+14)	IPC: A61K39/395 A61K47/12 A61K47/18 (+6)	Publication info: JP2019520390 (A) 2019-07-18 JP7028855 (B2) 2022-03-02 ??????	Priority date: 2016-07-05
9. ANTIBODY FORMULATIONS					
Inventor: FRANCIS DONNY YOUSSEF AHMED (+2)	Applicant: SANOFI SA [FR]	CPC: <u>A61K39/395</u> <u>A61K39/3955</u> <u>A61K39/39591</u> (+14)	IPC: A61K39/00 A61K39/395 A61K47/14 (+8)	Publication info: KR20190027375 (A) 2019-03-14 KR102450280 (B1) 2022-10-04 ??????	Priority date: 2016-07-05
10. ANTIBODY FORMULATIONS					
Inventor: FRANCIS DONNY YOUSSEF AHMED (+2)	Applicant: SANOFI SA [FR]	CPC: <u>A61K39/395</u> <u>A61K39/3955</u> <u>A61K39/39591</u> (+14)	IPC: A61K39/395 A61K47/12 A61K47/18 (+5)	Publication info: KR20220137159 (A) 2022-10-11 ??????	Priority date: 2016-07-05
11. ANTIBODY FORMULATIONS.					
Inventor: DONNY FRANCIS [DE] AHMED YOUSSEF (+2)	Applicant: SANOFI SA [FR]	CPC: <u>A61K39/395</u> <u>A61K39/3955</u> <u>A61K39/39591</u> (+14)	IPC: A61K39/395 C07K16/28	Publication info: MX2019000177 (A) 2019-06-20	Priority date: 2016-07-05
12. ANTIBODY FORMULATIONS					
Inventor: ФРАНЦИС, Донни, ЮССЕФ, Ахмед, (+2)	Applicant: САНОФИ	CPC: <u>A61K39/395</u> <u>A61K39/3955</u> <u>A61K39/39591</u> (+14)	IPC: A61K39/395	Publication info: RU2019102943 (A) 2020-08-05 RU2019102943 (A3) 2020-11-11 RU2769326 (C2) 2022-03-30	Priority date: 2016-07-05
13. ANTIBODY FORMULATIONS					
Inventor: FRANCIS DONNY [DE] YOUSSEF AHMED [DE] (+2)	Applicant: SANOFI SA [FR]	CPC: <u>A61K39/395</u> <u>A61K39/3955</u> <u>A61K39/39591</u> (+14)	IPC: A61K39/395 C07K16/28	Publication info: SG11201900043T (A) 2019-02-27	Priority date: 2016-07-05
14. Antibody formulations					

Inventor: FRANCIS DONNY [DE] YOUSSEF AHMED [DE] (+2)	Applicant: SANOFI SA [FR]	CPC: <u>A61K39/39591</u> <u>C07K16/2866</u> <u>C07K2317/24</u> (+1)	IPC: A61K39/395 A61K47/12 A61K47/18 (+1)	Publication info: TW201806618 (A) 2018-03-01 TWI760345 (B) 2022-04-11	Priority date: 2016-07-05
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15. ANTIBODY FORMULATIONS

Inventor: FRANCIS DONNY [DE] YOUSSEF AHMED [DE] (+2)	Applicant: SANOFI SA [FR]	CPC: <u>A61K39/395</u> <u>A61K39/3955</u> <u>A61K39/39591</u> (+14)	IPC: A61K39/395 A61K47/12 A61K47/18 (+4)	Publication info: US2019255173 (A1) 2019-08-22 US11207407 (B2) 2021-12-28 ???????	Priority date: 2016-07-05
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16. ANTIBODY FORMULATIONS

Inventor: FRANCIS DONNY [DE] YOUSSEF AHMED [DE] (+2)	Applicant: SANOFI SA [FR]	CPC: <u>A61K39/395</u> <u>A61K39/3955</u> <u>A61K39/39591</u> (+14)	IPC: A61K39/395 A61K47/12 A61K47/18 (+5)	Publication info: US2022111048 (A1) 2022-04-14 ???????	Priority date: 2016-07-05
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17. ANTIBODY FORMULATIONS

Inventor: FRANCIS DONNY [DE] YOUSSEF AHMED [DE] (+2)	Applicant: SANOFI SA [FR]	CPC: <u>A61K39/39591</u> <u>C07K16/2866</u> <u>C07K2317/24</u> (+1)	IPC: A61K39/395 C07K16/28	Publication info: WO2018007456 (A1) 2018-01-11 ???????	Priority date: 2016-07-05
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(iii) that the rights in the application(s) has/have been assigned to us

(iv) that we undertake that upto the date of grant of the patent by the Controller, I/We would keep him informed in writing the details regarding corresponding applications for patents filed outside India within six months from the date of filing of such application.

Dated this 4th May, 2023

2. To be signed by the applicant or his authorized registered patent agent

3. Name of the natural person who has signed



(RUPSA GUPTA)
OF D. P. AHUJA & CO
APPLICANT'S AGENT

Regn. No. IN/PA-1613

Email: patents@dpahuja.in

Mobile Phone Number : +919831360050

To
The Controller of Patents,
The Patent Office,
DELHI

WE CLAIM :

1. ~~An antibody formulation suitable for subcutaneous administration to a patient, the formulation comprising:~~

- ~~a) about 50 to about 250 mg/mL of an anti-CXCR5 antibody or a fragment thereof;~~
 - ~~b) a citrate buffer;~~
 - ~~c) greater than about 0.01% (w/v) surfactant;~~
 - ~~d) greater than about 50 mM amino acid; and~~
 - ~~e) greater than about 1% sucrose;~~
- ~~wherein the pH of the formulation is about pH 6.~~

2. ~~The antibody formulation of claim 1, wherein the anti-CXCR5 antibody or a fragment thereof comprises:~~

- ~~(a) a light chain variable domain comprising the amino acid sequence of SEQ ID NO: 11, and a heavy chain variable domain comprising the amino acid sequence of SEQ ID NO: 12;~~
- ~~(b) the amino acid sequences of RSSKSLLHSSGKTYLY (SEQ ID NO: 58), RMSNLAS (SEQ ID NO: 59), MQHLEYPYT (SEQ ID NO: 60), GFSLIDYGVN (SEQ ID NO: 61), VIWGDGTTY (SEQ ID NO: 62), and IVY (SEQ ID NO: 63);~~
- ~~(c) a light chain variable domain comprising the amino acid sequence of SEQ ID NO: 13, SEQ ID NO: 14, or SEQ ID NO: 15, and a heavy chain variable domain comprising the amino acid sequence of SEQ ID NO: 16;~~
- ~~(d) the amino acid sequences of RSSKSLLHSSGKTYLY (SEQ ID NO: 58), RLSNLAS (SEQ ID NO: 64), MQHLEYPYT (SEQ ID NO: 60), GFSLIDYGVN (SEQ ID NO: 61), VIWGDGTTY (SEQ ID NO: 62), and IVY (SEQ ID NO: 63);~~
- ~~(e) the amino acid sequences of RSSKSLLHSSGKTYLY (SEQ ID NO: 58), RLSSNLAS (SEQ ID NO: 65), MQHLEYPYT (SEQ ID NO: 60), GFSLIDYGVN (SEQ ID NO: 61), VIWGDGTTY (SEQ ID NO: 62), and IVY (SEQ ID NO: 63);~~

~~—— (f) a variable light chain (VL) comprising the amino acid sequence of SEQ ID NO: 17, SEQ ID NO: 19, or SEQ ID NO: 21, and a variable heavy chain (VH) comprising the amino acid sequence of SEQ ID NO: 23;~~

~~—— (g) a variable light chain comprising the amino acid sequence of SEQ ID NO: 30, SEQ ID NO: 31, or SEQ ID NO: 32, and a variable heavy chain comprising the amino acid sequence of SEQ ID NO: 33 or SEQ ID NO: 34;~~

~~—— (h) the amino acid sequences of RSSKSLHSSGKTYLY (SEQ ID NO: 58), RMSNLA (SEQ ID NO: 66), MQHLEYPYT (SEQ ID NO: 60), GFSLIDYGVN (SEQ ID NO: 61), VIWGDGTTY (SEQ ID NO: 62), and IVY (SEQ ID NO: 63);~~

~~—— (i) the amino acid sequences of RSSKSLHSSGKTYLY (SEQ ID NO: 58), RLSNLA (SEQ ID NO: 67), MQHLEYPYT (SEQ ID NO: 60), GFSLIDYGVN (SEQ ID NO: 61), VIWGDGTTY (SEQ ID NO: 62), and IVY (SEQ ID NO: 63);~~

~~—— (j) the amino acid sequences of RSSKSLHSSGKTYLY (SEQ ID NO: 58), RLSSLA (SEQ ID NO: 68), MQHLEYPYT (SEQ ID NO: 60), GFSLIDYGVN (SEQ ID NO: 61), VIWGDGTTY (SEQ ID NO: 62), and IVY (SEQ ID NO: 63);~~

~~—— (k) a variable light chain comprising the amino acid sequence of SEQ ID NO: 35, and a variable heavy chain comprising the amino acid sequence of SEQ ID NO: 37;~~

~~—— (l) a variable light chain comprising the amino acid sequence of SEQ ID NO: 39, SEQ ID NO: 41, or SEQ ID NO: 43, and a variable heavy chain comprising the amino acid sequence of SEQ ID NO: 45 or SEQ ID NO: 47;~~

~~—— (m) a variable light chain comprising the amino acid sequence of SEQ ID NO: 55, and a variable heavy chain comprising the amino acid sequence of SEQ ID NO: 56 or SEQ ID NO: 57; or~~

~~—— (n) the amino acid sequences of RSSKSLHSSGKTYLYW (SEQ ID NO: 69), RMSNLA (SEQ ID NO: 66), MQHLEYPYT (SEQ ID NO: 60), GFSLIDYGVN (SEQ ID NO: 61), VIWGDGTTY (SEQ ID NO: 62), and IVY (SEQ ID NO: 63).~~

3. ~~The antibody formulation of claim 1, wherein the amino acid is arginine or methionine.~~

~~4. The antibody formulation of claim 1, wherein the surfactant is a polysorbate.~~

51. An antibody formulation suitable for subcutaneous administration to a patient, the formulation comprising:

- a) about 100 to about 175 mg/mL of an antibody;
- b) about 10 mM citrate buffer;
- c) about 0.1% (w/v) surfactant;
- d) about 200 mM arginine; and
- e) about 4.5 to 9% sucrose,

wherein the pH of the formulation is about pH 6, wherein the antibody is a fully human anti-CXCR5 antibody, and wherein the antibody comprises a heavy chain comprising the amino acid sequence of SEQ ID NO: 33 and a light chain comprising the amino acid sequence of SEQ ID NO: 32.

~~6. The antibody formulation of claim 5, wherein the antibody is a fully human anti-CXCR5 antibody.~~

~~7. The antibody formulation of claim 6, wherein the antibody comprises a heavy chain comprising the amino acid sequence of SEQ ID NO: 33 and a light chain comprising the amino acid sequence of SEQ ID NO: 32.~~

82. The antibody formulation of as claimed in claim 51, wherein the antibody comprises a single chain Fv.

93. The antibody formulation of as claimed in claim 51, wherein the antibody is an isolated antibody or an antigen-binding fragment thereof that specifically binds to the extracellular domain of human CXCR5.

404. The antibody formulation of as claimed in claim 93, wherein the isolated antibody or the antigen-binding fragment thereof comprises the amino acid sequences of RSSKSLHSSGKTYLY (SEQ ID NO: 58), RLSSLA (SEQ ID NO: 68), MQHLEYPYT (SEQ ID NO: 60), GFSLIDYGVN (SEQ ID NO: 61), VIWGDGTTY (SEQ ID NO: 62), and IVY (SEQ ID NO: 63).

445. The antibody formulation of as claimed in claim 51, wherein the surfactant is a polysorbate.

426. The antibody formulation of as claimed in claim 445, wherein the polysorbate is polysorbate 20 or polysorbate 80.

437. An antibody formulation, comprising:

- a) about 175 mg/mL of a humanized IgG4 anti-CXCR5 antibody;
- b) about 10 mM citrate buffer;
- c) about 1.0 mg/mL polysorbate 80;
- e) about 200 mM arginine HCl; and
- f) about 45 mg/mL sucrose,

wherein the pH of the formulation is about pH 6, wherein the humanized IgG4 anti-CXCR5 antibody comprises a heavy chain comprising the amino acid sequence of SEQ ID NO: 33 and a light chain comprising the amino acid sequence of SEQ ID NO: 32.

~~14. The antibody formulation of claim 13, wherein the humanized IgG4 anti-CXCR5 antibody comprises a heavy chain comprising the amino acid sequence of SEQ ID NO: 33 and a light chain comprising the amino acid sequence of SEQ ID NO: 32.~~

458. A container comprising the antibody formulation of as claimed in any of claims 1-447.

469. The container of as claimed in claim 158, wherein the container is a prefilled syringe, a vial, or an autoinjector.

4710. A container comprising the antibody formulation of as claimed in any of claims 1-447 in a lyophilized form.

4811. A kit, comprising the container of as claimed in claim 158 and a label or instructions for the administration and use of the antibody formulation.

4912. The kit of as claimed in claim 4811, wherein administration is by injection.

2013. The antibody formulation ~~according to~~ as claimed in any one of claims 1-447 for use in a method of diagnosis or treatment of a CXCR5 (C-X-C chemokine receptor type 5)-mediated disease or disorder of the human or animal body.

21. ~~The antibody formulation according to any one of claims 1-14 for use in a method for treating rheumatoid arthritis.~~

2214. A lyophilized form of the antibody formulation of as claimed in any one of claims 1-447.