

FORM 1 THE PATENTS ACT 1970 (39 of 1970) and The Patents Rules, 2003 APPLICATION FOR GRANT OF PATENT (See section 7, 54 & 135 and sub-rule (1) of rule 20)	(FOR OFFICE USE ONLY)
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Application No. :	
Filing Date :	
Amount of Fee Paid :	
CBR No. :	
Signature :	

1. APPLICANT'S REFERENCE / IDENTIFICATION NO. (AS ALLOTTED BY OFFICE)	
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2. TYPE OF APPLICATION [Please tick(✓) at the appropriate category]
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Ordinary()	Convention()	PCT-NP (✓)			
Divisional ()	Patent of Addition ()	Divisional ()	Patent of Addition ()	Divisional ()	Patent of Addition ()

3A. APPLICANT(S)

Name in Full	Nationality	Country of Residence	Address of the Applicant
IONIS PHARMACEUTICALS, INC.	USA	USA	2855 Gazelle Court, Carlsbad, CA 92010, USA

3B. CATEGORY OF APPLICANT [Please tick(✓) at the appropriate category]

Natural Person ()	Other than Natural Person		
	Small Entity ()	Startup ()	Others (✓)

4. INVENTOR(S) [Please tick(✓) at the appropriate category]
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Are all the inventor(s) same as the applicant(s) name above?	Yes ()	No (✓)
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If "No", furnish the details of the inventor(s)

Name in Full	Nationality	Country of Residence	Address of the Inventor
VINEY, Nicholas, J.	a British citizen	USA	2855 Gazelle Ct, Carlsbad, CA 92010, USA
GEARY, Richard, S.	an US citizen	USA	2855 Gazelle Ct, Carlsbad, CA 92010, USA
WANG, Yanfeng	an US citizen	USA	2855 Gazelle Ct, Carlsbad, CA 92010, USA
YU, Zhengrong	an US citizen	USA	2855 Gazelle Ct, Carlsbad, CA 92010, USA
GUNAWAN, Rudy	an Indonesian citizen	USA	2855 Gazelle Ct, Carlsbad, CA 92010, USA

5. TITLE OF THE INVENTION

MODULATING APOLIPOPROTEIN (a) EXPRESSION
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6. AUTHORISED REGISTERED	IN/PA No.	IN/PA-121
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PATENT AGENT(S)	Name	R R Nair
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8. IN CASE OF APPLICATION CLAIMING PRIORITY OF APPLICATION FILED IN CONVENTION COUNTRY, PARTICULARS OF CONVENTION APPLICATION		

Country	Application No.	Filing Date	Name of the Applicant	Title of the Invention	IPC (as classified in the convention country)
USA	62/252,392	06/11/2015	ISIS PHARMACEUTICALS, INC.	MODULATING APOLIPOPROTEIN (a) EXPRESSION	

9. IN CASE OF PCT NATIONAL PHASE APPLICATION, PARTICULARS OF INTERNATIONAL APPLICATION FILED UNDER PATENT CO-OPERATION TREATY (PCT)	
International Application Number	International Filing Date
PCT/US2016/060816	07 November 2016
10. IN CASE OF DIVISIONAL APPLICATION FILED UNDER SECTION 16, PARTICULARS OF ORIGINAL (FIRST) APPLICATION	
Original (First) Application No.	Date of Filing of Original (First) Application
11. IN CASE OF PATENT OF ADDITION FILED UNDER SECTION 54, PARTICULARS OF MAIN APPLICATION OR PATENT	
Main Application / Patent No.	Date of Filing of Main Application
12. DECLARATIONS	
(i) Declaration by the inventor(s)	
<p>(In case the applicant is an assignee: the inventor(s) may sign herein below or the applicant may upload the assignment or enclose the assignment with this application for patent or send the assignment by post/electronic transmission duly authenticated within the prescribed period).</p> <p>I/We, the above named inventor(s) is/are the true & first inventor(s) for this invention and declare that the applicant(s) herein is/are my/our assignee or legal representative.</p>	
ENCLOSED ASSIGNMENT	

(ii) Declaration by the applicant(s) in the convention country

—(In case the applicant in India is different than the applicant in the convention country: the applicant in the convention country may sign herein below or applicant in India may upload the assignment from the applicant in convention country or enclose the said assignment with this application for patent or send the assignment by post/electronic transmission duly authenticated within the prescribed period).

I/We, the applicant(s) in the convention country declare that the applicant(s) herein is/are my/our assignee or legal representative.

(a) Date

(b) Signature(s)

(c) Name(s) of the signatory

(iii) Declaration by the applicant(s)

I/We, the applicant(s) hereby declare(s) that:-

- I am/We are in possession of the above mentioned invention.
- The ~~provisional~~ / complete specification relating to the invention is filed with this application.
- The Invention as disclosed in the specification uses the biological material from India and the necessary permission from the competent authority shall be submitted by me / us before the grant of patent to me/us
- There is no lawful ground of objections to the grant of the Patent to me/us.
- I am / We are the true & first inventor(s).
- I am / We are the assignee or legal representative of true & first inventor(s).
- The application or each of the applications, particulars of which are given in Para 8 was the first application in convention country/countries in respect of my/our invention(s).
- I / We claim the priority from the above mentioned application(s) filed in convention country/countries and state that no application for protection in respect of the invention had been made in a convention country before that date by me/us or by any person from which I/we derive the title.
- My/Our application in India is based on International application under Patent Cooperation Treaty (PCT) as mentioned in Paragraph-9.
- The application is divided out of my/our application particulars of which is given in Paragraph-10 and pray that this application may be treated as deemed to have been filed on.....under sec.16 of the Act.
- The said invention is an improvement in or modification of the invention particulars of which are given in Paragraph – 11.

13. FOLLOWING ARE THE ATTACHMENTS WITH THE APPLICATION

a) Form 2

Item	Details	Fee	Remarks
Complete/ provisional specification #	No. of pages 56		Sequence Listing: No. of Pages: 1
No. of Claim(s)	No. of Claims 157 and No. of Pages 15		
Abstract	No. of Pages 1		
No. of Drawing(s)	No. of Drawings 6 and No. of Pages 15		

In case of a complete specification, if the applicant desires to adopt the drawings filed with his provisional specification as the drawings or part of the drawings for the complete specification under rule13(4), the no. of such pages filed with the provisional specification are required to be mentioned here.

- (b) complete specification (in conformation with the international application)/ ~~as amended before the International Preliminary Examination Authority (IPEA), as applicable (2 copies)~~
- (c) Sequence listing in electronic form: No. of Pages: 1
- (d) Drawings (in conformation with the international application)/ ~~as amended before the International Preliminary Examination Authority (IPEA), as applicable (2 copies)~~
- (e) ~~Priority document(s) or a request to retrieve the priority documents from DAS (Digital Access Service) if the applicant had already requested the office of first filing to make the priority document(s) available to DAS.~~
- (f) ~~Translation of priority document / specification / international search report / international preliminary report on patentability~~
- (g) Statement and undertaking on Form 3
- (h) Declaration of Inventorship on Form 5
- (i) Copy of GPA
- (j) Copy of Form PCT/IB/304
- (k) Assignment & Name change certificate

Total Fee Rs.289600/- is paid by e-filing module.

I / We hereby declare that to the best of my/our knowledge, information and belief the fact and matters stated herein are correct and I/we request that a patent may be granted to me/us for the said invention.

Dated this 31 day of May 2018

Signature :

Name : R R Nair
Of De Penning & De Penning
Agent for the Applicants

To,
The Controller of Patents
The Patent Office, at Chennai