

our reference

1872-2018/SM/ra

date 29 April 2019

The Controller of Patents
The Patent Office
Chennai

Dear Sirs,

INDIA

National Phase Application No. 201847020374
Of International Application No. PCT/US2016/060816
International filing date: 07 November 2016
Date of Entry :31 May 2018
In the name of:
IONIS PHARMACEUTICALS, INC.

We refer to the above mentioned application and submit herewith the following document:

(1) Form 3 with Updated Foreign Filing Particulars

Kindly take this document on record.

Yours faithfully De Penning & De Penning

DIGITALLY SIGNED (Sathya Menon) REG. No.IN/PA-973

FORM 3

THE PATENTS ACT, 1970 (39 of 1970)

and

THE PATENTS RULES, 2003

STATEMENT AND UNDERTAKING UNDER SECTION 8

(See section 8; rule 12)

I/We IONIS PHARMACEUTICALS, INC. of 2855 Gazelle Court, Carlsbad, CA 92010, USA; Nationality: USA

hereby declare:

Or

(i) that I/We have not made any application for the same/substantially the same invention-outside India.

2. Name, address and nationality of the joint applicant

(ii) that I/We who have made this application No.201847020374 dated 31 May 2018 alone/jointly-with, made for the same/ substantially same invention, application(s) for patent in the other countries, the particulars of which are given below:-

Name of the	Date of	Application No.	Status of the	Publication	Date of	<u>Grant</u>	Date of
<u>country</u>	<u>application</u>	Application 110.	<u>application</u>	<u>Number</u>	<u>Publication</u>	No.	grant
USA	06/11/2015	62/252392	Expired				
Australia	07/11/2016	2016349625	Pending				
Brazil	07/11/2016	BR112018003291-3	Pending	BR112018003291-3	25/09/2018		
Canada	07/11/2016	2999341	Pending				
Chile	07/11/2016	2018-01221	Pending		28/12/2018		
China	07/11/2016	201680063706.X	Pending	CN108348478A	31/07/2018		
Colombia	07/11/2016	NC2018/0002198	Pending		10/05/2018		
EPO	07/11/2016	16863162.0	Pending	3370708	12/09/2018		
Hong Kong	07/11/2016	19119522.1	Pending				
Israel	07/11/2016	257647	Pending				
Japan	07/11/2016	2018-519962	Pending	2018-531605	01/11/2018		
Republic of Korea	07/11/2016	10-2018-7013684	Pending				
Mexico	07/11/2016	MX/a/2018/005277	Pending		14/09/2018		
Malaysia	07/11/2016	PI2018701771	Pending				
New Zealand	07/11/2016	740026	Pending				
Peru	07/11/2016	338-2018	Pending		20/07/2018		
Singapore	07/11/2016	11201801380Q	Pending				
USA	07/11/2016	15/772649	Pending				
PCT	07/11/2016	PCT/US2016/06081	National phase entered	WO2017/079739	11/05/2017		

South Africa	07/11/2016	2018/01208	Pending							
3. Name and address of the assignee		(iii) that the rights in the application(s) has/have been assigned to us that I/We undertake that upto the date of grant of the patent by the Controller, I/We would keep him informed in writing the details regarding corresponding applications for patents filed outside India within six months from the date of filing of such application. Dated this 16 day of April 2019								
4. To be signed by the applicant or his authorized registered patent agent		DIGITALLY SIGNED Sathya Menon	<u>, , , , , , , , , , , , , , , , , , , </u>							
5. Name of the natural person who has signed.		REG. NO.: IN/PA-973 Of De Penning & De Penning Agent for the Applicants								
		To The Controller of Pate The Patent Office, at Chennai	nts,							
Note Strik	ce out whichever	is not applicable;								